

Investor Complaint Data – Waterfield Financial and Investment Advisors Private Limited (Investment Advisers)

Data for the month ending: September 30, 2025

Sr. No	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending Complaints > 3months	Average Resolution time^ (in days)
1	Directly from the Investors	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	NA
3	Other Sources (if any)	0	0	0	0	0	NA
	Grand Total	0	0	0	0	0	NA

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of Monthly Disposal of Complaints

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1.	September 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous month resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of Annual disposal of complaints

Sr. No	Year	Carried Forward from previous year Received	Received	Resolved*	Pending#
1	2024-25	0	0	0	0
2	2025-26	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.